



Expertise that's trusted

TO BE USED FOR ALL SCHEMES OTHER THAN TATA SERVICE INDUSTRIES FUND AND TATA CONTRA FUND

SIP AUTO DEBIT FACILITY

Sr. No.:

New Investors are also requested to fill-in the scheme application form

REGISTRATION CUM MANDATE FORM FOR ECS (Debit Clearing / Standing Instruction / Direct Debit Facility in select banks only)

First SIP cheque and subsequent via Auto Debit in select cities only. (Please attach copy of cheque / cancelled cheque)

 New Registration with TMF
 Change in Bank Account for existing Registration with TMF
 MICRO SIP

Broker / Agent Code

ARN - 0988

Sub-Broker / Bank Branch Code

M.O. Code

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

INVESTOR AND INVESTMENT DETAILS

| | | | | | | | | | | | | | | | | | | | | | | | | | |
|----------------------------|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| Sole / First Investor Name | | | | | | | | | | | | | | | | | | | | | | | | | |
| Folio/Application No. | | | | | | | | | | | | | Existing Investors please mention Folio Number. New applicants please mention the application form number. | | | | | | | | | | | | |
| Scheme | | | | | | | | | | | | | | | | | | | | | | | | | |
| Plan | | | | | | | | | | | | | | | | | | | | | | | | | |
| Option and Sub Option | | | | | | | | | | | | | | | | | | | | | | | | | |

SIP AND BANK DETAILS
 Each SIP Amount (₹) Frequency Monthly (Default) Quarterly Status: RI NRI
 Amount in words _____

First SIP Cheque Details: Cheque No.: Cheque Amount in ₹

 Cheque Date : __/__/__ SIP Auto Debit Dates: 1st 7th 10th 15th 20th 25th 28th

 SIP Period: Start From End On
SIP date should be either 1st / 7th / 10th / 15th / 20th / 25th / 28th (Note: Cheque should be drawn on bank details provided below)

(Note: Please allow minimum one month for auto debit to register & start). I hereby, authorise Tata Mutual Fund (TMF) and their authorised service providers, to debit my following bank account by ECS (Debit Clearing) / direct debit / standing instruction to account for collection of SIP payments.

PARTICULARS OF BANK ACCOUNT

Account holder Name as in Bank Account

Bank Name

Branch Name City

Account Type Savings Current Cash Credit NRO NRNR NRE 9 Digit MICR Code (Mandatory for ECS)

Core banking A/c no. (in figures)

DECLARATION

I/We hereby declare that the particulars given above are correct & complete & express my willingness to make payments referred above through participation in ECS/Direct Debit/Standing Instruction. I/We will also inform TAML, about any changes in my bank account. I/We have read & agreed to the terms & conditions mentioned overleaf.

This is to inform I/We have registered for RBI's Electronic Clearing Service (Debit Clearing)/ Direct Debit/Standing Instruction Facility & that my payment towards my investment in Tata Mutual Fund shall be made from my/our below mentioned bank account with your bank. I/We authorize the representative carrying this ECS/Direct Debit/Standing Instruction mandate Form to get it verified & executed.

To - Branch Manager, _____ Bank, I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Tata Mutual Fund or the above mentioned Bank responsible. If the date of debit to my/ our account happens to be a non business day as per the Mutual Fund, execution of the SIP will happen on the day of holiday & allotment of units will happen as per the Terms & Conditions listed in the KIM/SID/SAI of the Mutual Fund. The above mentioned Bank shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligations under this Agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riot, strike, mutiny, revolution, fire, flood, fog, war, lightning, earthquake, change of Government policies, Unavailability of above mentioned Bank's computer system, force majeure events, or any other cause of peril which is beyond Bank's reasonable control & which has the effect of preventing the performance of the contract by Bank. I/We have noted the contents of the Direct Debit Facility Agreement/ Standing Instruction entered by Tata Mutual Fund with the Bank & I/we are also bound by the terms thereof.

I/We acknowledge that no separate intimation will be received from the Bank in case of non-execution of the instructions for any reasons whatsoever.

To - The Trustee, Tata Mutual Fund, Mumbai. Having read & understood the contents of SAI/SID/KIM of Tata Mutual Fund Scheme/s, I/We hereby apply for the respective Units of Tata Mutual Fund Scheme/s at NAV based resale price & agree to abide by terms, conditions, rules & regulations of scheme/s. For Micro SIP: I/We hereby declare that I/We do not have any existing Micro SIP's which together with the current application will result in aggregate investments exceeding ₹ 50,000/- in a year.

SIGNATURE/S AS PER BANK ACCOUNT (MANDATORY)

| | |
|---|--|
| Sole /1st Account Holder's Signature (as in bank records) | |
| 2nd Account Holder's Signature (as in bank records) | |
| 3rd Account Holder's Signature (as in bank records) | |

SIGNATURE/S AS PER TATA MUTUAL FUND RECORDS (MANDATORY)

| | |
|--------------------------------------|--|
| Sole /1st Account Holder's Signature | |
| 2nd Account Holder's Signature | |
| 3rd Account Holder's Signature | |

(To be signed as per the mode of operations, i.e. all holders to sign if the mode of operations is Joint)

FOR OFFICE USE ONLY (NOT TO BE FILLED IN BY INVESTOR)
 Recorded on
 Recorded by

 Scheme Code
 Credit A/c Number

Bank use Mandate Ref. No. _____

Customer Ref. No. _____

SIP AUTO DEBIT FACILITY : TERMS & CONDITIONS

A) SIP payment through Electronic Clearing Service (Debit Clearing) of the Reserve Bank of India (RBI)

List of Cities for SIP Auto Debit Facility via ECS (Debit Clearing) Agra, Ahmedabad, Allahabad, Amritsar, Anand, Asansol, Aurangabad, Bangalore, Baroda, Bhavnagar, Belgaum, Bhilwara, Bhopal, Bhubaneswar, Bijapur*, Bikaner, Burdwan, Calicut, Chandigarh, Chennai, Cochin, Coimbatore, Cuttack, Devenegere, Dhanbad, Dehradun, Durgapur, Erode, Gadag*, Gangtok*, Gorakhpur, Guwahati, Gulbarga, Gwalior, Hassan*, Hubli, Hyderabad, Indore, Jabalpur, Jaipur, Jalandhar, Jammu, Jamnagar, Jamshedpur, Jodhpur, Kakinada, Kanpur, Kolhapur, Kolkata, Kota, Lucknow, Ludhiana, Mandya*, Madurai, Mangalore, Mumbai, Mysore, Nagpur, Nasik, Nellore, New Delhi, Panjim, Patna, Pondicherry, Pune, Raichur, Raipur, Rajkot, Ranchi, Salem, Shimla, Shimoga, Sholapur, Siliguri, Surat, Thirupur, Tirupathi, Tirunelveli, Trichur, Trichy, Trivandrum, Udaipur, Udupi*, Varanasi, Vijayawada, Vizag. (subject to revision in dates & location).

Instructions for ECS:

1. This facility is offered to investors having bank accounts in select cities mentioned above.
2. The cities in the list may be modified/ updated/changed/removed at any time in future entirely at the discretion of Tata Asset Management Limited without assigning any reasons or prior notice. If any city is removed, SIP instructions for investors in such cities via ECS (Debit) route will be discontinued without prior notice.
3. The bank mentioned in the ECS request must participate in local MICR clearing. MICR code starting and/or ending with '000' are not valid for ECS.
4. The investor agrees to abide by the terms and conditions of ECS facility of Reserve Bank of India (RBI).
5. * For Gadag, Gangtok, Bijapur, Udupi, Mandya & Hassan the first transaction should be demand draft drawn on the city where the application is submitted.

B) Through direct debit facility and Standing Instruction facility (SI):

List of Banks for direct debit facility (all branches): ICICI Bank; Citi Bank, Corporation Bank and Union Bank of India. List of branches for Standing Instruction: (All branches) State Bank of India, IDBI Bank Ltd., HDFC Bank Ltd., AXIS Bank (Please note: The AMC may alter the list of banks participating in direct debit arrangement from time to time / withdraw direct debit facility from banks, based on its experience of dealing with any such bank or add / withdraw the name of the bank with whom direct debit facility or the SI arrangement can be introduced / discontinued as the case may be).

General Instructions for SIP Auto Debit:

1. In case of new applications, kindly attach duly filled in application form alongwith registration cum mandate form for SIP Auto debit facility. Any other format of request will be rejected.
2. Completed application form, SIP auto debit form & first cheque should be submitted at Tata Asset Management Ltd. (TAML) offices or CAMS ISC's at least 30 days prior to first SIP auto debit date.
3. Investors should mandatorily give a cheque for the first transaction drawn on the same bank account which is to be debited under ECS/Direct Debit / SI in case the 1st cheque is issued from an A/c which is different from ECS/Direct Debit / SI A/c, then a copy of cheque from ECS debit A/c as mentioned on the application form should be submitted alongwith other requirement.
4. Investors will not hold Tata Asset Management Limited, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of ECS/Direct Debit / SI.
5. Tata Asset Management Limited, its registrars and other service providers shall not be responsible and liable for any damages/compensation for any loss, damage etc. incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.
6. The SIP cancellation request shall be submitted 15 working days prior to the SIP date.
7. For change in Bank mandate, the request must be submitted 30 days prior to the SIP date.
8. Loads as Prevailing from time to time will be applicable.
9. Existing investors who wish to restart are required to submit SIP Auto Debit form duly sign by the bank account holder(s), indicating the existing Folio No. & investment details in the SIP Form & submit the same alongwith the copy of a cancelled cheque / photo copy drawn on the same bank account registered in the Auto Debit Form.
10. In case SIP end date is not mentioned the SIP will expire 25 years after the first SIP Installment.
11. SIP auto debit facility is available only on specific dates of the month viz. 1st / 7th / 10th / 15th / 20th / 25th / 28th.
12. In case there are three consecutive SIP's, rejections due to the reasons "in sufficient funds", the subsequent SIP installments will be automatically ceased.

IMPORTANT NOTE

KYC is mandatory for purchases and switches of units and SIP/STP/DTP registrations for all investors irrespective of the amount of investment and such transactions must be accompanied with a documentary proof of KYC compliance. Unit holders of these transactions should quote the KYC compliance status of all the applicants (guardian in case of minor) in the application for subscription & attach proof of KYC compliance viz. KYC Acknowledgement Letter (or the erstwhile MIN* Allotment Letter).

With a view to enhance compliance with Know Your Customer (KYC) norms under the Prevention of Money Laundering Act, 2002 (PMLA) and to mitigate the risks associated with acceptance of third party payment instruments (cheques, demand drafts, pay orders etc.), Association of Mutual Funds in India (AMFI) issued best practice guidelines on 'Risk mitigation process against third party cheques in mutual fund subscriptions'.

Accordingly, with effect from November 15, 2010 Tata Mutual Fund **will not accept applications for subscriptions accompanied with third party payment instruments** except in **exceptional situations**.

Third Party Payment is defined as:

1. Payment made through an instrument issued from an account other than that of the beneficiary investor.
2. In case of payments from a joint bank account, the first holder of the mutual fund folio has to be one of the joint holders of the Bank Account from which payment is made.
3. Investments from the investor's account with a different bank i.e., the pay-in & payout banks are different, if the pay-in bank mandate could not be established to be that of the investor, it will also be treated as third party investment.

The **exceptional situations** where the same will not be applicable are as follows:

1. Payment by Parents/Grandparents/related persons on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding ₹ 50,000/- (each regular purchase or per SIP installment);
2. Payment by employer on behalf of employee under Systematic Investment Plan (SIP) facility through payroll deductions;
3. Custodian on behalf of an FII or a Client

In case of the exceptional situation as above, following would be **mandatory requirements**:

- i. KYC for investor and the person making the payment.
- ii. Declaration from investor and person making payment (Person making payments declaration should carry the relationship with investor and the details of Bank Account from which funds are being received.)
- iii. Proof for Source of funds being from the Drawers account only



TO BE USED FOR TATA SERVICE INDUSTRIES FUND AND TATA CONTRA FUND

SIP AUTO DEBIT FACILITY

Expertise that's trusted

New Investors are also requested to fill-in the scheme application form

REGISTRATION CUM MANDATE FORM FOR ECS (Debit Clearing / Standing Instruction / Direct Debit Facility in select banks only)

First SIP cheque and subsequent via Auto Debit in select cities only. (Please attach copy of cheque / cancelled cheque)

New Registration with TMF Change in Bank Account for existing Registration with TMF MICRO SIP

Broker / Agent Code: ARN - 0988 Sub-Broker / Bank Branch Code: M.O. Code:

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

INVESTOR AND INVESTMENT DETAILS

| | |
|----------------------------|--|
| Sole / First Investor Name | |
| Folio/Application No. | Existing Investors please mention Folio Number. New applicants please mention the application form number. |
| Scheme Plan | |
| Option and Sub Option | |

SIP AND BANK DETAILS

Each SIP Amount (₹): Frequency Monthly (Default) Quarterly Status: RI NRI

Amount in words:

First SIP Cheque Details: Cheque No.: Cheque Amount in ₹

Cheque Date: / / SIP Dates: Please mention the date in words day of the month. e.g. for SIP on 10th please mention 10 Tenth day of the month [please refer instruction 12 for any day SIP]

SIP Period: Start From End Date (please tick ✓) Default End Date SIP End Date

(please refer instruction 10 on reverse)

(Note: Cheque should be drawn on bank details provided below. Please allow minimum one month for auto debit to register & start). I hereby, authorise Tata Mutual Fund (TMF) and their authorised service providers, to debit my/our following bank account by ECS (Debit Clearing) / direct debit / standing instruction to account for collection of SIP payments.

PARTICULARS OF BANK ACCOUNT

Account holder Name as in Bank Account Bank Name Branch Name City

Account Type Savings Current Cash Credit NRO NRRN NRE 9 Digit MICR Code (Mandatory for ECS)

Core banking A/c no. (in figures)

I/We hereby declare that the particulars given above are correct & complete & express my willingness to make payments referred above through participation in ECS/Direct Debit/ Standing Instruction. I/We will also inform TAML, about any changes in my bank account. I/We have read & agreed to the terms & conditions mentioned overleaf.

This is to inform I/We have registered for RBI's Electronic Clearing Service (Debit Clearing)/ Direct Debit/Standing Instruction Facility & that my payment towards my investment in Tata Mutual Fund shall be made from my/our below mentioned bank account with your bank. I/We authorize the representative carrying this ECS/Direct Debit/Standing Instruction mandate Form to get it verified & executed.

I/We acknowledge that no separate intimation will be received from the Bank in case of non-execution of the instructions for any reasons whatsoever.

To - The Trustee, Tata Mutual Fund, Mumbai. Having read & understood the contents of SAI/SID/KIM of Tata Mutual Fund Scheme/s, I/We hereby apply for the respective Units of Tata Mutual Fund Scheme/s at NAV based resale price & agree to abide by terms, conditions, rules & regulations of scheme/s. For Micro SIP: I/We hereby declare that I/We do not have any existing Micro SIP's which together with the current application will result in aggregate investments exceeding ₹ 50,000/- in a year.

| | | | |
|---|--------------------------------------|--------------------------------|--------------------------------|
| SIGNATURE/S AS PER TATA MUTUAL FUND RECORDS (MANDATORY) | | | |
| | Sole /1st Account Holder's Signature | 2nd Account Holder's Signature | 3rd Account Holder's Signature |

DECLARATION TO THE BANKER

To - Branch Manager, Bank, I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Tata Mutual Fund or the above mentioned Bank responsible. If the date of debit to my/ our account happens to be a non business day as per the Mutual Fund, execution of the SIP will happen on the day of holiday & allotment of units will happen as per the Terms & Conditions listed in the KIM/SID/SAI of the Mutual Fund. The above mentioned Bank shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligations under this Agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riot, strike, mutiny, revolution, fire, flood, fog, war, lightning, earthquake, change of Government policies, Unavailability of above mentioned Bank's computer system, force majeure events, or any other cause of peril which is beyond Bank's reasonable control & which has the effect of preventing the performance of the contract by Bank. I/We have noted the contents of the Direct Debit Facility Agreement/ Standing Instruction entered by Tata Mutual Fund with the Bank & I/we are also bound by the terms thereof.

| | | | |
|---|---|---|---|
| SIGNATURE/S AS PER BANK ACCOUNT (MANDATORY) | | | |
| | Sole /1st Account Holder's Signature (as in bank records) | 2nd Account Holder's Signature (as in bank records) | 3rd Account Holder's Signature (as in bank records) |

(To be signed as per the mode of operations, i.e. all holders to sign if the mode of operations is Joint)

BANKER'S ATTESTATION (FOR BANK USE ONLY)

Certified that the signature of A/c holder and the details mentioned in 'Particulars of Bank A/c' above and its MICR code are correct as per our records

Signature of Bank Manager with name, Employee code, Bank Seal and Contact Number Bank Account Number

FOR OFFICE USE ONLY (NOT TO BE FILLED IN BY INVESTOR)

Recorded on Scheme Code Recorded by Credit A/c Number

Bank use Mandate Ref. No. Customer Ref. No.

SIP AUTO DEBIT FACILITY : TERMS & CONDITIONS

A) SIP payment through Electronic Clearing Service (Debit Clearing) of the Reserve Bank of India (RBI)

List of Cities for SIP Auto Debit Facility via ECS (Debit Clearing) Agra, Ahmedabad, Allahabad, Amritsar, Anand, Asansol, Aurangabad, Bangalore, Baroda, Bhavnagar, Belgaum, Bhiwara, Bhopal, Bhubaneswar, Bijapur*, Bikaner, Burdwan, Calicut, Chandigarh, Chennai, Cochin, Coimbatore, Cuttack, Devenegere, Dhanbad, Dehradun, Durgapur, Erode, Gadag*, Gangtok*, Gorakhpur, Guwahati, Gulbarga, Gwalior, Hassan*, Hubli, Hyderabad, Indore, Jabalpur, Jaipur, Jalandhar, Jammu, Jamnagar, Jamshedpur, Jodhpur, Kakinada, Kanpur, Kolhapur, Kolkata, Kota, Lucknow, Ludhiana, Mandya*, Madurai, Mangalore, Mumbai, Mysore, Nagpur, Nasik, Nellore, New Delhi, Panjim, Patna, Pondicherry, Pune, Raichur, Raipur, Rajkot, Ranchi, Salem, Shimla, Shimoga, Sholapur, Siliguri, Surat, Thirupur, Tirupathi, Tirunelveli, Trichur, Trichy, Trivandrum, Udaipur, Udupi*, Varanasi, Vijayawada, Vizag. (subject to revision in dates & location).

Instructions for ECS:

- This facility is offered to investors having bank accounts in select cities mentioned above.
- The cities in the list may be modified/ updated/changed/removed at any time in future entirely at the discretion of Tata Asset Management Limited without assigning any reasons or prior notice. If any city is removed, SIP instructions for investors in such cities via ECS (Debit) route will be discontinued without prior notice.
- The bank mentioned in the ECS request must participate in local MICR clearing. MICR code starting and/or ending with '000' are not valid for ECS.
- The investor agrees to abide by the terms and conditions of ECS facility of Reserve Bank of India (RBI).
- * For Gadag, Gangtok, Bijapur, Udupi, Mandya & Hassan the first transaction should be demand draft drawn on the city where the application is submitted.

B) Through direct debit facility and Standing Instruction facility (SI):

List of Banks for direct debit facility (all branches): ICICI Bank; Citi Bank, Corporation Bank and Union Bank of India. List of branches for Standing Instruction: (All branches) AXIS Bank, IDBI Bank Ltd., HDFC Bank Ltd., (Please note: The AMC may alter the list of banks participating in direct debit arrangement from time to time / withdraw direct debit facility from banks, based on its experience of dealing with any such bank or add / withdraw the name of the bank with whom direct debit facility or the SI arrangement can be introduced / discontinued as the case may be).

General Instructions for SIP Auto Debit:

- In case of new applications, kindly attach duly filled in application form alongwith registration cum mandate form for SIP Auto debit facility. Any other format of request will be rejected.
- Completed application form, SIP auto debit form & first cheque should be submitted at Tata Asset Management Ltd. (TAML) offices or KARVY ISC at least 30 days prior to first SIP auto debit date.
- In case the first cheque / copy of the cheque from which the ECS is to be debited is not submitted or does not have the name of the investor on the face of the cheque then the investor should submit any one of the following documents:
 - Copy of the bank passbook / a statement of bank account having the name and address and account number of the account holder attested by the AMC, registrar officials on verification with originals
 - A letter (in original) from the bank on its letterhead certifying that the investor maintains an account with the bank, along with information like bank account number, bank branch, account type, the MICR code of the branch & IFSC Code
 - Get the banker's attestation on the face of the form in the section BANKER'S ATTESTATION (FOR BANK USE ONLY)
- Investors will not hold Tata Asset Management Limited, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of ECS/Direct Debit / SI.
- Tata Asset Management Limited, its registrars and other service providers shall not be responsible and liable for any damages/compensation for any loss, damage etc. incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.
- The SIP cancellation request shall be submitted 15 working days prior to the SIP date.
- For change in Bank mandate, the request must be submitted 30 days prior to the SIP date.
- Loads as Prevailing from time to time will be applicable.
- Existing investors who wish to renew the SIP are required to submit SIP Auto Debit form duly signed by the bank account holder(s), indicating the existing Folio No. & investment details in the SIP Form & submit the same alongwith the copy of a cancelled cheque / photo copy drawn on the same bank account registered in the Auto Debit Form.
- In case SIP end date is not mentioned the default expiry of SIP will be in December 2099.
- In case there are three consecutive SIP transaction reversals due to the reasons "In sufficient funds", the subsequent SIP installments will be automatically ceased.

12. Instructions for Any day SIP:

- In case of ambiguity the date in words will be taken as SIP date.
- In case the date is not mentioned in words then the date will be taken based on the details mentioned in figures subject to the legibility of the handwriting.
- In case you have a Standing Instruction (SI) with HDFC Bank and IDBI Bank: With regard to SI being maintained for 29th, 30th and 31st, in the event that SI is being maintained on any of the above dates and if the month ends before the SI maintained date, the debit will happen on the last day of the month. Below matrix may help understand the concept better.

| Scenario | SI Date chosen by Investor | Month Ending on | | | |
|----------|-------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| | | A -28 th | B-29 th | C-30 th | D -31 st |
| 1 | 28 th of the month | Will be debited on 28 th | Will be debited on 28 th | Will be debited on 28 th | Will be debited on 28 th |
| 2 | 29 th of the month | Will be debited on 28 th | Will be debited on 29 th | Will be debited on 29 th | Will be debited on 29 th |
| 3 | 30 th of the month | Will be debited on 28 th | Will be debited on 29 th | Will be debited on 30 th | Will be debited on 30 th |
| 4 | 31 st of the month | Will be debited on 28 th | Will be debited on 29 th | Will be debited on 30 th | Will be debited on 31 st |

- In case you have a Standing Instruction with AXIS Bank: With regard to SI being maintained for 29th, 30th and 31st, in the event that SI is being maintained on any of the above dates and if the month ends before the SI maintained date, the debit will happen on the first business day of the next month.
- Investors should take note that despite the date of debit of the bank account as mentioned in instruction 'c' and 'd' above, the NAV applicability will be on the basis of SIP date.

SYSTEMATIC INVESTMENT PLAN (SIP) UNDER THE SCHEMES SHALL BE AS:

| Scheme Names | Minimum Amount (Monthly) | Minimum Amount (Quarterly) | Maximum Amount (Quarterly) | Entry Load | Exit Load if redeemed before the specified period from the date of allotment (as a % of Relevant NAV) |
|-------------------------------------|--------------------------|----------------------------|----------------------------|------------|---|
| Tata Service Industries Fund (TSIF) | ₹ 500/- | ₹ 1,000/- | ₹ 50,00,000/- | Nil | 1% of the applicable NAV if redeemed on or before expiry of 365 days from the date of allotment. |
| Tata Contra Fund (TCF) | ₹ 500/- | ₹ 1,000/- | ₹ 50,00,000/- | Nil | 1% of the applicable NAV if redeemed on or before expiry of 365 days from the date of allotment. |

The above SIP load structure would be applicable for SIP amount upto ₹ 50 Lakhs per installment. For SIP installment above ₹ 50 Lakhs the prevailing load structure for investment other than SIP will be applicable.

* Any SIP Application with Instalment amount more than Maximum Amount would be accepted as normal application and prevailing entry and/or exit load on such applications (i.e on each installment) shall be charged accordingly.

Notes: The minimum SIP amount (Per Installment) is as follows : **Monthly SIP Amount** - Minimum No. of Installments: 12 if the amount is ₹ 500/- each & in multiples of ₹ 1/- or 6 if the amount is ₹ 1,000/- each & in multiples of ₹ 1/-; **Quarterly SIP Amount** - Minimum No. of Installments: 6 if the amount is ₹ 1,000/- each & in multiples of ₹ 1/- or 4 if the amount is ₹ 1,500/- each & in multiples of ₹ 1/-; (*) Subject to lock-in as per the terms of the scheme. SIP amount (per installment) in case of TTFS should be in multiples of ₹ 500/-. AMC reserves the right to change the above terms prospectively without prior notice.