



# SYSTEMATIC INVESTMENT PLAN ECS/AUTO DEBIT MANDATE FORM

and only  
India's 1<sup>st</sup> Direct to Investor  
Mutual Fund

105, Regent Chambers, 5th Floor, Nariman Point, Mumbai - 400021. www.QuantumAMC.com

Please fill this form in ENGLISH in BLACK/DARK COLOURED INK in CAPITAL LETTERS.

New Investor (also Attach new application form duly filled & signed)  Change in Bank Account (for Existing Investor)

## INVESTOR DETAILS

Folio/Application No.  PAN No\*.   
Sole/First Investor Name:

\*Please provide KYC Acknowledgement Letter if not sent before.

## INVESTMENT DETAILS [Please (✓) the Scheme(s)]

<input type="checkbox"/> Quantum Long Term Equity Fund	<input type="checkbox"/> Quantum Tax Saving Fund	<input type="checkbox"/> Quantum Liquid Fund	
<input type="checkbox"/> Quantum Equity Fund of Funds		<input type="checkbox"/> Dividend Option	
<input type="checkbox"/> Growth Option	<input type="checkbox"/> Dividend Reinvestment Facility	<input type="checkbox"/> Dividend Payout Facility	<input type="checkbox"/> Dividend Transfer
<input type="checkbox"/> Quantum Gold Savings Fund		<input type="checkbox"/> Growth Option	

## Frequency Details (Please ✓)

<input type="checkbox"/> Daily	<input type="checkbox"/> Weekly	<input type="checkbox"/> Fortnightly	<input type="checkbox"/> Monthly	<input type="checkbox"/> Quarterly
All Business Days	7th, 15th, 21st, 28th of a week	<input type="radio"/> 5th, 21st OR <input type="radio"/> 7th & 25th	<input type="radio"/> 5th OR <input type="radio"/> 21st	<input type="radio"/> 7th OR <input type="radio"/> 25th OR <input type="radio"/> 15th OR <input type="radio"/> 28th

No of Installments:  SIP Start Date  SIP End Date

Amount Per Installment:  Amount (in words)

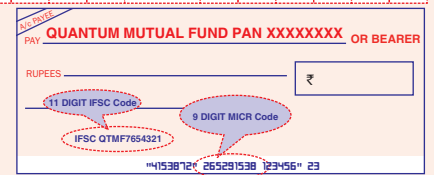
I/We hereby authorize Quantum Mutual Fund and their authorized service providers to debit my/our following bank account by ECS (Debit clearing/Auto Debit) for collection of SIP payments

Note: Please allow 30 days for Auto Debit to register and start .

Only monthly and quarterly SIP frequencies are available for Quantum Liquid Fund.

## BANK MANDATE DETAILS

1st Account Holder Name as per Bank Records   
 2nd Account Holder Name as per Bank Records   
 3rd Account Holder Name as per Bank Records   
 Bank Name   
 Branch Address   
 City  Pin   
 Bank Account Type  Savings  Current  NRO  NRE  FCNR  
 Bank Account No.   
 MICR Code  (This is a 9 digit number next to the cheque no.)  
 Mandatory enclosure:  Blank Cancelled Cheque /  Copy of the cheque of above account (Please ✓)



Please provide the MICR Code of the bank branch from where the ECS is to be effected. MICR Codes starting or ending with "000" are not valid for ECS.

I/We wish to inform you that I/We have registered with Quantum Mutual Fund through their Authorized Service Provider(s) and representative for my/our payment to Quantum Mutual Fund by debit to my/our above mentioned bank account. For this purpose I/We authorize their Service Provider(s) and the representative to raise debit on my/our above mentioned account with your branch. I/We here by authorize you to honor all such requests received through their authorized Service Provider(s) and representative to debit my/our account with the amount requested, for due remittance of the proceeds to Quantum Mutual Fund. I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. I/We here by declare that the particulars given above are correct and complete. If the transactions is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Quantum Mutual Fund or their authorized Service Provider(s) and representative responsible. If the date of debit to my/our account happens to be a non-business day as per Mutual Fund or a Bank holiday, execution of the SIP will happen on the next working day and allotment of units will happen as per the Terms and Conditions listed in Scheme Information Document (SID) and Statement of Additional Information (SAI) of the Mutual Fund. The above mentioned bank shall not be liable for, nor be in default by reason of any failure or delay in completion of this service, where such failure or delay is caused in whole or in part by any acts of God, civil war, civil commotion, riot, strike, mutiny, revolution, fire, flood, fog, war, lightning, earthquake, change of government policies, unavailability of banks computer system, force majeure event or any other cause of peril which is beyond the above mentioned banks reasonable control and which has the effect of preventing the performance of this service by the above-mentioned bank. I/We shall not dispute or challenge any debit, raised under this mandate, on any ground whatsoever. I/We shall not have any claim against the bank in respect of the amount so debited pursuant to the mandate submitted by me/us. I/We shall keep the bank and authorized Service Provider(s) and representative jointly and or severally indemnified from time to time, against all claims, actions, suits, for any loss, damage, costs, charges and the expenses incurred by the bank and authorized Service Provider(s) and representative, by reason of their acting upon the instructions issued by the above named authorized signatories/ beneficiaries. This request for debit mandate is valid and may be revoked only through written letter withdrawing the mandate signed by the authorized signatories/beneficiaries and giving reasonable notice to such withdrawals. I/We here by apply for the respective units of Quantum Mutual Fund Scheme(s) at NAV based the resale price an agree to abide by terms, conditions, rules and regulations of Scheme(s). I/We hereby authorize bank to debit my account for mandate verification charges, if any.

First Account Holders Signature (As per bank records)   
 Second Account Holders Signature (As per bank records)   
 Third Account Holders Signature (As per bank records)

## FOR BANK USE ONLY (Not to be filled in by Investor)

Certified that particulars furnished above are correct as per our records-

Recorded on  Recorded by

Mandate Ref. No.

Bank Account No.

(Bank's Stamp)

(Signature of Authorized Official from the Bank)

## SIP/ECS Facility : Terms and Conditions

- Complete Application form and SIP ECS Mandate Form along with the first cheque should be submitted to the AMC / Karvy ISC's.
  - Investors should mandatorily give a cheque for the first Installment. The first cheque should be drawn on the same bank account which is to be registered for ECS (Debit Clearing). Alternatively, the cheque may be drawn on any bank, for which investor should provide a photocopy of the cheque or cancelled cheque of the bank/branch for which ECS is to be registered.
  - First SIP cheque and subsequent SIP installments via ECS should be of the same amount.
  - ECS instructions will take a minimum of 30 business days for registration with the bank and hence the first auto debit will be carried out only after one month, on the SIP date mentioned on the form. The AMC reserves the right to modify the SIP period depending on the one month period for registration to ensure minimum number of installments as mentioned in Scheme Information Document (SID)
  - ECS facility is offered only to
 

List of cities for Auto Debit facility via ECS

Agra	Gorakhpur	Pondicherry
Ahmedabad	Gulbarga	Pune
Allahabad	Guwahati	Raichur
Amritsar	Gwalior	Raipur
Anand	Hubli	Rajkot
Asansol	Hyderabad	Ranchi
Aurangabad	Indore	Salem
Bangalore	Jabalpur	Shimla
Baroda	Jaipur	Shimoga
Belgaum	Jalandhar	Sholapur
Bhavnagar	Jammu	Siliguri
Bhilwara	Jamnagar	Surat
Bhopal	Jamshedpur	Thirupur
Bhubaneshwar	Jodhpur	Tirunelveli
Bijapur	Kakinada	Tirupati
Bikaner	Kanpur	Trichur
Calicut	Kolhapur	Trichy
Chandigarh	Kolkata	Trivandrum
Chennai	Kota	Udaipur
Cochin	Lucknow	Udipi
Coimbatore	Ludhiana	Varanasi
Cuttack	Madurai	Vijaywada
Davangere	Mandya	Vizag
Dehradun	Mangalore	Bardhaman
Delhi	Mumbai	Dargeeling
Dhanbad	Mysore	Goa
Durgapur	Nagpur	Haldia
Erode	Nasik	Tumkur
Gadag	Nellore	
Gangtok	Patna	
  - The cities mentioned above may be modified/ updated/ changed/ removed at any time in future at the discretion of the AMC without assigning any reasons or prior notice. If any city, bank/branch is removed, SIP instructions for investors in such cities, bank/branch via ECS route will be discontinued without prior notice.
  - The SIP Enrollment will be discontinued in cases where Two consecutive SIP installment are not honored or the bank account is closed and no request for change in bank account has been submitted.
  - Request for cancellation should be submitted 15 business days prior to the SIP date.
  - Request for change in bank mandate to be submitted atleast 30 business days before the due date of next SIP installment.
  - The bank account provided for ECS (Debit) should participate in local MICR Clearing.
  - MICR code starting and / or ending with 000 are not valid for ECS
  - The investor agrees to abide by the terms and conditions of ECS facility of the Reserve Bank of India (RBI) as applicable at the time of investment and as may be modified from time to time.
  - The investor undertakes to keep sufficient funds in the account till the date of execution of the debit. The investor hereby declares that the particulars given overleaf are correct and complete. If the date of debit to the investors account happens to be a non Business day as per the fund, execution of the debit will not happen on the day of the holiday and allotment of Units will happen as per the terms and conditions listed in the concerned Scheme Information Document (SID). The Fund, its Registrars, Auto Debit Banks and other service providers shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligation under this agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riots, strike, mutiny, revolution, fire, flood, fog, war, change of government policies, unavailability of banks computer system, force majeure events or any other cause of peril which is beyond their reasonable control and which has the effect of preventing the performance of contract by them.
  - Investors will not hold Quantum AMC, its registrars, banks and other service providers responsible if the transaction is delayed or not effected or the investor's bank account is debited in advance or after the specific sip date due to the local holidays or any other reason.
  - Quantum AMC reserves the right to reject any application without assigning any reason thereof.
  - Please refer SID for minimum SIP investment amount under each schemes
  - Please refer the Key Information Memorandum (KIM) and SID of the respective scheme for applicable NAV, risk factors, load (exit/entry) and other information on the respective scheme/plan before investing.
  - If Investor has not provided the SIP frequency or period, then the default SIP frequency will be monthly & the default SIP date would be 5th of every month. The SIP installment would be the minimum number of installments of the respective scheme as mentioned in the respective Scheme Information Document (SID)
  - Incorrect, incomplete or ambiguous forms will not be accepted and will be returned to the investor within 10 business days via normal post.
  - To avail of SIP in separate schemes/options via Direct Debit/ECS facility, an investor will have to fill a separate form for each scheme/ options. A single form cannot be used for different schemes simultaneously.
  - In case the SIP end date is not mentioned while submitting the application, the SIP mandate will be construed to be perpetual, till instruction to the contrary is received from the investor
  - For modification/change in SIP amount, New SIP Auto debit mandate form with revised SIP amount alongwith letter to discontinue the existing SIP amount to be submitted 30 business days prior to the Installment date.
  - SIP load structure as on date of first SIP instalment(in case of direct debit) cheque date would be applicable.
- Mutual Fund investments are subject to market risks. Please read Statement of Additional Information (SAI) and respective Scheme Information Document (SID) carefully for detailed risk factors and other information before investing.