

DECLARATION

The investor by signing the transaction slip overleaf confirms having understood and agreed to the following Terms & Conditions

1. That the investor/s has / have read and understood the contents of the Scheme Information Document (s) & Scheme Additional Information of the respective scheme/s and subsequent amendments thereto including the section on "Prevention of Money Laundering". The Request for Additional Purchase/Redemptions/Switch etc will be subject to the terms and conditions of the scheme concerned as per the current Scheme Information Document(s) including minimum Investment Amount/Units/Multiple criteria.
2. That the investor/s has / have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment.
3. AMC / Registrar will reject the transaction in case Additional Purchase Request is made along with Redemption and /or Switch requests, or vice-versa or a combination of any such requests as two different types of Financial Transactions including SIP/SWP/STP or transactions pertaining to two or more folios as the same are not permissible by the AMC through Single Transaction Slip. The Investor has to use transaction slip for any financial transaction. AMC/Registrar may summarily reject any financial transaction if not submitted in the transaction slip as specified by the AMC. Similarly any request relating to Cancellation/stoppage of SIP/STP/SWP will not be accepted on a transaction slip.
4. The Mutual Fund reserves the right to reject/refund/revert/revert and reprocess any request/transaction in case of detection of any error.
5. The AMC may credit the dividend/redemption proceeds into investor's Bank Account through Direct Credit in cases where the AMC has arrangements with the same Bank with which investor has an account. At present, the AMC has arrangements for direct credit with the following 15 Banks which may be deleted or added as per the discretion of the AMC without any prior information/notice to the investors
1. Axis bank, 2. BNP Paribas, 3. Citibank, 4. Deutsche Bank, 5. Development Credit Bank (DCB) 6. HDFC Bank, 7. HSBC 8. ICICI Bank 9. IDBI Bank, 10. Indus Ind Bank, 11. ING VYsya Bank, 12. Kotak Mahindra Bank, 13. Royal Bank of Scotland (Earlier Known as ABN Amro Bank) 14. Standard Chartered Bank and 15. Yes Bank. AMC also reserves the right to effect credit through RTGS/NEFT/ECS system as and when started for payment of dividend subject to the availability of the required information i.e. the MICR No, IFSC Code, Name of the bank and the branch pertaining to the bank account maintained by the investor.
6. That JM Financial Asset Management Pvt. Ltd / JM Financial Mutual Fund / JM Financial Trustee Co. Pvt. Ltd, will not be held responsible in case of delayed credit / credit of dividend / redemption proceeds not having been effected on account of incorrect/incomplete Bank details furnished by investor. Also that a cheque/Demand Draft will be issued in the event of rejection of Direct Credits by Bank beyond AMC's controls.
7. The Bank Details will be updated for the particular scheme/plan/option only, if the transaction slip containing the computer printed/hand-written scheme/plan/ option is used instead of making a request through a letter for such change with folio number only. However, if the transaction slip is used/written request is made by mentioning only folio number (i.e. without mentioning the scheme/plan/option), the bank details will be updated for entire folio provided no other financial transaction is mentioned in the slip. Further, the investor/s understand/s that the request of updation for change/correction in address or contact details will always be updated at folio level
8. In case of difference in the amount and units mentioned in any request for Redemption/Switch, the lower of the two on rupee equivalent basis on the Transaction/applicable NAV date will be considered by the AMC. In the event of investor not having filled in the amount/Units in the Transaction Slip, the AMC may redeem/switch-out all the outstanding units in case the Scheme, Plan, Option are clearly mentioned. Further, the investor/s understand/s that the request of updation for change/correction in address or contact details will always be updated at folio level and for KYC compliant investor/s, the updation will be done as mentioned in point 3 (under Mandatory Requirements) below.
9. All Financial /Non Financial requests must be signed by all the joint holders in case of the units are held in joint holding . In case, the Units are held on the basis of " Either / Anyone or Survivor ", any one of the joint holders may sign the requests. The Purchase / Additional purchase/switch-in transaction will be treated as "Direct" if the existing or new broker code is not mentioned in the transaction slip every time. However, the broker code mentioned on the redemption slip will not be considered for updation. For such cases, a separate written & signed request is required.
10. The redemption/switch out price represent an average price taking into account the respective load structure applicable for Units Purchased, on first in first out basis.

MANDATORY REQUIREMENTS/INFORMATION

1. As per SEBI, the submission of copy of PAN(Except for Investors from Sikkim) proof duly verified by Bank Manager/Notary Public/Officials of JM Financial AMC or M/s Karvy Computershare Pvt. Ltd, or self-attested copy duly verified by the distributor holding AMFI Registration Number (ARN) with signatures, date, name and official rubber stamp is mandatory. Purchase Transaction will not be processed by the Registrar and amount refunded within 30 days without any interest in case the verified copy of PAN is not submitted along with purchase transaction or along with earlier transactions for the same folio. However, in case of MICRO SIP, the investor/s not having PAN Card, will be required to submit a copy of any of the Identification Proofs (as specified by AMFI) duly attested by investor / concerned ARN Holder.
2. Furnishing Bank Details is mandatory as per SEBI guidelines to avoid fraudulent encashment of payment instrument/s issued by the AMC from time to time. Investor may furnish the same if not furnished earlier by using the Transaction Slip duly signed by all investors. (refer to Point No 7 above)
3. In order to meet the KYC (Know your customer) requirements as per Prevention of Money Laundering Act, wef 1st Feb, 2008, it is mandatory for all investors investing Rs. 50,000/- or more in Mutual Fund Schemes, to obtain and attach a copy of KYC Certification issued by M/s CVL (A subsidiary Company of CDSL). The details may be obtained from the AMFI's site i.e. www.amfiindia.com. The registrar will update the address of the Investor based on CVL's record for all those Investors whose KYC Certification is completed successfully. The Investor is required to update his/her address with CVL if any change is required to be updated after KYC Certification.
4. As per the SEBI letter No 5/55/cm/2006 dated 03.06.2009, the Investor is exempted from the requirements of submitting copy of the PAN Card for all investments under the Micro Systematic Investment Plans upto 50,000/- per year.

Register your email address and mobile number with us for faster communication

You can reach us at Email : investor@jmfincial.in, web : www.jmfincialmf.in