

# COMMON APPLICATION FORM

(Please read Key Information Memorandum and the Instructions before completing this Application Form.)

All sections to be filled legibly in English and in BLOCK LETTERS.)

SR.No. \_\_\_\_\_

(Please ✓)* <input type="checkbox"/> Direct <input type="checkbox"/> Broker/Distributor *Mandatory	Broker Name: Ganesh S. Shanbhag	Sub Broker Name & Code
	ARN No: 0988	

Upfront commission to distributors will be paid by the investor directly to the distributor, based on his assessment of various factors including the service rendered by the distributor

### 1. FOLIO NO. FOR EXISTING INVESTOR (Applicable only for NON-ETF Investors): (Kindly refer to instruction 1 (a))

Folio No. for Existing Investor \_\_\_\_\_

### 2. APPLICANT'S INFORMATION

#### Name of First / Sole Applicant / Non-Individual Investor

Mr./Mrs./Ms./M/s. \_\_\_\_\_

Date of Birth \_\_\_\_\_ (D D M M Y Y Y Y)

PAN\* \_\_\_\_\_ KYC Compliant# Please (✓)  Yes  No (If yes kindly attach proof)

#### Name of the Second Applicant

Mr./Mrs./Ms. \_\_\_\_\_

PAN\* \_\_\_\_\_ KYC Compliant# Please (✓)  Yes  No (If yes kindly attach proof)

#### Name of the Third Applicant

Mr./Mrs./Ms. \_\_\_\_\_

PAN\* \_\_\_\_\_ KYC Compliant# Please (✓)  Yes  No (If yes kindly attach proof)

#### Name of Guardian (in case of First / Sole Applicant is a Minor)/Contact person with designation (in case of non-individual Investors)

Mr./Mrs./Ms. \_\_\_\_\_

Designation \_\_\_\_\_ PAN\* \_\_\_\_\_ KYC Compliant# Please (✓)  Yes  No

Address Of First / Sole Applicant / Non-Individual Investor (Only P. O. Box Address is not sufficient) \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Pincode \_\_\_\_\_

Overseas Address (Mandatory for NRIs / FIIs)(Only P.O. Box Address is not sufficient) \_\_\_\_\_

#### Contact details of First / Sole Applicant / Non-Individual investor (Please mention the STD/ISD Codes)

Office Tel. \_\_\_\_\_ Residence Tel. \_\_\_\_\_

Fax. \_\_\_\_\_ Mobile. \_\_\_\_\_

E-Mail. \_\_\_\_\_

#KYC Mandatory for investment of Rs.50,000 and above. \*PAN is not applicable for Micro SIP/VIP investments. Refer to the instruction point no. 1 (iv).

### 3. MODE OF OPERATION (Please tick (✓)) refer instructions no. 2. (ii)

Joint  Single  Anyone or Survivor

### 4. STATUS (of First / Sole Applicant) (Please tick (✓))

Resident Individual  Company  Body Corporate  Proprietor  FII  Trust  NRI  HUF  PIO

Minor through Guardian  Partnership Firm  Society  Bank  AOP/BOI  Others (please specify) \_\_\_\_\_

### 5. OCCUPATION (of First / Sole Applicant) (Please tick (✓))

Service  Professional  Business  Housewife  Retired  Student  Politically exposed person

Others (please specify) \_\_\_\_\_

### ACKNOWLEDGEMENT SLIP (To be filled in by the Investor)

SR.No. \_\_\_\_\_



Registered Office: 405, Raheja Chambers, Free Press Journal Marg,  
213, Nariman Point, Mumbai - 400021

Date \_\_\_\_\_

Received from Mr./Ms./M/s./Mrs. \_\_\_\_\_ an application for subscription of units of  Benchmark Derivative Fund  Benchmark Equity and Derivatives Opportunities Fund  Benchmark S&P CNX 500 Fund;  Growth Option  Dividend Option with  Payout  Reinvestment facility alongwith Cheque / DD No. \_\_\_\_\_ Cheque / DD Date. \_\_\_\_\_ Amount (Rs.) \_\_\_\_\_ Drawn on \_\_\_\_\_ Branch \_\_\_\_\_

Acknowledgement  
Stamp

All purchases are subject to realisation of Cheque / Demand Draft

**6. BANK ACCOUNT DETAILS (Please note that as per SEBI Regulations it is mandatory for investors to provide their bank account details)**

Name of the Bank \_\_\_\_\_ Branch \_\_\_\_\_  
 Branch Address \_\_\_\_\_ Account No. \_\_\_\_\_  
 Bank City \_\_\_\_\_ State \_\_\_\_\_ 9 Digit MICR Code \_\_\_\_\_  
 11 Digit IFSC Code \_\_\_\_\_ Account Type (Please tick(✓))  Savings  Current  NRE  NRO  FCNR  Others (please specify) \_\_\_\_\_

**7. INVESTMENT DETAILS**

Scheme  Benchmark Derivative Fund (BDF)  Benchmark Equity & Derivatives Opportunities Fund (BEDOF)  Benchmark S&P CNX 500 Fund  
 Investment Details: Option:  Growth  Dividend For Dividend Option:  Payout  Reinvestment

**8. PAYMENT DETAILS**

**Investment through Lumpsum Amount**

a.) Cheque Details Cheque No: \_\_\_\_\_ Cheque Date: [DD | MM | YYYY] Amount (Rs): \_\_\_\_\_  
 Bank Name: \_\_\_\_\_ Branch Name: \_\_\_\_\_

Cheques should be favouring - 'Benchmark Derivative Fund' / 'Benchmark Equity & Derivatives Opportunities Fund' / 'Benchmark S&P CNX 500 Fund'

Scheme	Account Title	Account No.	IFSC Code
Benchmark Derivative Fund	BENCHMARK DERIVATIVE FUND	22205370817	SCBL0036001
Benchmark Equity and Derivatives Opportunities Fund	BENCHMARK EQUITY AND DERIVATIVES OPPORTUNITIES FUND	22205357977	SCBL0036001
Benchmark S&P CNX 500 Fund	BENCHMARK S&P CNX 500 FUND	22205387140	SCBL0036001

b.) RTGS – only for investment exceeding Rs.100,000 (One Lakh)  
 UTR No. \_\_\_\_\_  
 Note: RTGS should be initiated from the same account as mentioned in bank mandate.  
 RTGS should be to the respective bank account with Standard Chartered Bank as per the scheme:

SIP (Systematic Investment Plan)	VIP (Value averaging Investment Plan)
Micro SIP# <input type="checkbox"/> Yes <input type="checkbox"/> No SIP Date From: [DD   MM   YYYY] SIP Date To: [DD   MM   YYYY] First SIP Via Cheque: Rs. _____ * (Chq details as below) No of ECS installments: _____** Preferred Monthly Investment date: <input type="checkbox"/> 1st <input type="checkbox"/> 15th * Min installment should be of Rs. 1000. All ECS debits will be similar to the first cheque issued ** Min no of investments including first cheque should be 12 First SIP ECS debit will be 30 days after the date of allotment	Micro VIP# <input type="checkbox"/> Yes <input type="checkbox"/> No VIP Date From: [DD   MM   YYYY] VIP Date To (max upto 12 yrs): [DD   MM   YYYY] *Nominal amount = _____ (First VIP cheque should be same as nominal amount) Maximum ECS Debit amount = _____ (amount higher than nominal amount) Preferred Monthly Investment date: <input type="checkbox"/> 1st <input type="checkbox"/> 15th * Min installment should be of Rs. 2000. VIP is only applicable for Benchmark S&P CNX 500 Fund First VIP ECS debit will be 30 days after the date of allotment. Default Min Investment will be "ZERO"

First SIP / VIP Cheque Details Cheque No: \_\_\_\_\_ Cheque Date: [DD | MM | YYYY] Branch Name: \_\_\_\_\_

Cheques should be favouring - 'Benchmark Derivative Fund' / 'Benchmark Equity & Derivatives Opportunities Fund' / 'Benchmark S&P CNX 500 Fund'  
 #Investor falling under Micro SIP/VIP should submit valid and latest copy of any of the photo identification document listed below (attested by the investor or ARN holder alongwith the ARN No.) alongwith with the other documents and the Micro SIP/VIP application form.  
 Please (✓)  Voter Identity Card  Driving License  Government / Defense identification card  Passport  Photo Ration Card  Photo Debit Card  Employee ID cards issued by companies registered with Registrar of Companies  
 Photo Identification issued by Bank Managers of Scheduled Commercial Banks/Gazetted Officer/Elected Representatives to the Legislative Assembly/Parliament  ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks.  Senior Citizen / Freedom Fighter ID card issued by Government.  Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI.  Permanent Retirement Account No. (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL).  Any other photo ID card issued by Central Government / State Governments /Municipal authorities / Government organizations like ESIC / EPFO.

**9. E-MAIL COMMUNICATION (Please tick (✓))**

I / We wish to receive the following document(s) via e-mail in lieu of physical documents (Please ✓)  Newsletter  Account Statement  Annual Report  Other Information

**10. NOMINATION (please refer instruction no.6)**

Nomination required please tick (✓)  Yes  No (If yes, please fill the details below)

	Nominee	Name of Guardian (in case nominee is a Minor)	Allocation (%) by which the units will be shared by each Nominee should aggregate to 100%
Nominee 1			
Address			
Nominee 2			
Address			
Nominee 3			
Address			

DECLARATION: I/We hereby nominate the above mentioned Nominee(s) to receive all the amounts to my/our credit in the event of my/our death. I/We also understand that all the payments and settlements made to such Nominee(s) shall be a valid discharge by the AMC/Mutual Fund/Trustees.  
 I/We have read the rules and instructions on nomination specified herein and I/We hereby confirm to comply and adhere to such rules and any amendments that may be made in the Scheme Information Document from time to time.

**11. DECLARATIONS & SIGNATURE/S**

I/We have read and understood the contents of the Scheme Information Document of the Scheme. I/We hereby apply to the Trustee of Benchmark Mutual Fund for the allotment of Units of the Scheme, as indicated in this form and agree to abide by the terms, conditions, rules and regulations of the Scheme.  
 I/We have not received or been induced by any rebate or gifts, directly or indirectly in making this investment.  
 I/We hereby declare that, I/We am/ are authorised to make this investment and that the amount invested in the Scheme is derived out of legitimate sources only and is not involved and is not designed for the purpose of any contravention or evasion of any act, rules, regulations, notification or directions issued by any regulatory authority in India.  
 I/We declare that the information given in this application form is correct, complete and truly stated.  
 The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.  
 Applicable to NRIs only:  
 I/We confirm that I/we am/are Non-Resident of Indian Nationality/Origin and I/we hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels or from funds in my/our Non-Resident External/Ordinary Account/FCNR Account.  
 Please (✓)  Yes  No If yes,  Repatriation basis  Non-repatriation basis

SIGNATURES	First/Sole Applicant/Guardian/POA Holder	
	Second Applicant	
	Third Applicant	
	Date:	

Important Note: Default selections: PLAN: If nothing is ticked Growth Plan will be considered; Option: If nothing is ticked Dividend Reinvestment Option will be considered  
 SIP/VIP Date: If nothing is ticked 15th date will be considered