Birla Sun Life Mutual Fund

COMMON TRANSACTION FORM

(for One Transaction Only) (for Retail Investors)

Ganesh S. Shanbhag
ARN - 0988

Sub Broker Code - Refer Instruction No. 9

Date & Time of Receipt

FOLIO NUMBER **(Mandatory)** : Date : D D M M Y Y

1st Holder Name	2nd Holder Name	3rd Holder Name
PAN Number KYC Complied ☐	PAN Number KYC Complied ☐	PAN Number KYC Complied ☐

ADDITIONAL PURCHASE (Cheque / DD payment favouring "Scheme Name") Refer Inst No. 3, 4 & 5

Scheme: **BSL** Plan :

Option: Amount (Rs.):

DD Charges*: Net Amount (Rs.): Chq/DD No.:

Dated : D D M M Y Y payable to the above scheme drawn on(Bank Name & Branch Address)

In case you do not mention the Plan and Option, units will be alloted under default option as per respective scheme(s) offer document.
*To be filled by investors residing at the location where the AMC Branches / Collection centre are not located

DECLARATION(S) & SIGNATURE(S)

To,
The Trustee,
Birla Sun Life Mutual Fund

Having read and understood the contents of the Offer Document/Statement of Additional Information / Scheme Information Document of the Scheme, I/We hereby apply for units of the scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme. I/We hereby declare that the amount invested in the scheme is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the government of India from time to time. I/We have understood the details of the scheme & I/we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment.
I/We confirm that details provided by me/us are true and correct.

Signature	First Holder / Authorised Signatory	Second Holder	Third Holder

Bank Mandate [Please tick (✓)] ☐ As per Account Statement ☐ Changed (See reverse)
In case of change in Bank mandate, please also sign on the reverse

REDEMPTION

Scheme: **BSL** Plan :

Option: Please redeem (Rs.):

or units.

SWITCH

I/We would like to switch Rs. or units from

Scheme / Plan **BSL** Option

To Scheme / Plan **BSL** Option

REDEMPTION / DIVIDEND REMITTANCE

DIRECT CREDIT AVAILABLE IN BANK ACCOUNT
Unitholders having bank account with ABN AMRO Bank, Citi Bank, Deutsche Bank, HDFC Bank, HSBC Bank, ICICI Bank, IDBI Bank, Standard Chartered Bank, Axis Bank, Kotak Mahindra Bank, Yes Bank, State Bank of India, Corporation Bank & Punjab National Bank will receive their Redemption / Dividend Payments (if any) directly into their bank account. The Fund reserves the right to add / delete / modify the list from time to tome. **For remittance via Electronic Credit, cancelled copy of cheque is mandatory. The cancelled cheque leaf must be submitted in order to safeguard the interest of the investors.**
In case Unitholders wish to receive a cheque (instead of a direct credit into their bank account), please indicate the preference below:
I/We want to receive the redemption and dividend proceeds (if any) by way of a **cheque**. **[Please tick (✓)]** ☐ Yes ☐ No

REAL TIME GROSS SETTLEMENT (RTGS) (FOR REDEMPTIONS ONLY)
I/We request you to activate RTGS facility for my folio and remit the redemption proceeds through RTGS.
[Please tick (✓)] ☐ Yes ☐ No (If Yes, please fill the IFSC Code) IFSC CODE

Contact Us:
1-800-270-7000
connect@birlasunlife.com
www.birlasunlife.com

Birla Sun Life Mutual Fund

Folio No.: ☐ Purchase ☐ Redeem ☐ Switch ☐ Contact Details ☐ Bank Details Date:

Scheme: **BSL** Amount (Rs.) or Units

From Scheme (in case of switch) To Scheme

Birla Sun Life
Mutual Fund

BANK / CONTACT DETAILS UPDATION FORM

(for Retail Investors)

Ganesh S. Shanbhag
ARN - 0988

Sub Broker Code - Refer Instruction No. 9

Date & Time of Receipt

FOLIO NUMBER **(Mandatory)** :

Date : D D M M Y Y

1st Holder Name	2nd Holder Name	3rd Holder Name
PAN Number KYC Complied ☐	PAN Number KYC Complied ☐	PAN Number KYC Complied ☐

☐ CHANGE / UPDATION OF BANK DETAILS

Name of the Bank _____

Branch Address _____

_____

City _____ State _____

Pin _____ A/c No. _____

A/c Type : ☐ SAVINGS ☐ CURRENT ☐ NRE ☐ NRO ☐ FCNR ☐ NRSR ☐ OTHERS _____

MICR Code : **IFSC Code :**

This is a 9 digit number next to your Cheque Number. Please attach an extra blank cancelled cheque or a clear photo-copy of a cheque. It is the responsibility of the Investor to ensure the correctness of the IFSC code of the recipient / destination branch corresponding to the Bank details

DECLARATION(S) & SIGNATURE(S)

To,
The Trustee,
Birla Sun Life Mutual Fund

Having read and understood the contents of the Offer Document/Statement of Additional Information / Scheme Information Document of the Scheme, I/We hereby apply for units of the scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme. I/We hereby declare that the amount invested in the scheme is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the government of India from time to time. I/We have understood the details of the scheme & I/we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment.

I/We confirm that details provided by me/us are true and correct.

Signature	First Holder / Authorised Signatory	Second Holder	Third Holder

☐ UPDATION OF CONTACT DETAILS

New Address _____

_____ City _____

State _____ Country _____ Pin Code _____

(Address change ONLY for non-KYC holders. KYC compliant customers please use separate form. Visit www.birlasunlife.com for details)

ISD CODE TELEPHONE: OFF. S T D -

RESI. S T D - MOBILE

ONLINE ACCESS** (this enables you to access your investment portfolio through our website - www.birlasunlife.com) ☐ Yes ☐ No **[Please tick (✓)]**

I wish to receive the following via e-mail instead of physical document

☐ Account Statement ☐ Annual Report ☐ Other Statutory Information

E-MAIL

Important Note:
Please ensure that you **enter your phone number and email address** correctly. Here's why: **• Keep an eye on your money:** We will send you regular updates on your investment status **• Early Bird advantage:** You'll be the first to know about our new products **• Go green:** Stay free of paperwork

Instructions

1. It is necessary to mention the Ten-Digit Folio number for the purpose of any transaction. 2. Please strike off the transaction type that are NOT filled by you before submitting the form. 3. To make an additional purchase, please send us a local cheque / DD payable at any of our branch/ authorised centre. Please make the cheque/ DD payable in favour of the respective scheme e.g. Birla Sun Life Advantage Fund, Birla Sun Life Income Plus etc. 4. No stock invest, cash or outstation cheques will be accepted. D.D. charges would be borne by the AMC only for the investors residing at places which are not covered by our offices/authorised centres. The maximum charges so borne by the AMC would be restricted to limits as prescribed by State Bank of India. 5. For fresh purchases under new scheme, plan or option - please refer the respective Offer Document(s). 6. Redemptions/Switches will be processed only if amount/ units is clearly mentioned. If there is no sufficient amount/ units, the balance available free units in the respective account will be redeemed/ shifted/ switched. 7. **Bank Mandate & PAN No. Mandatory:** In order to protect the interest of investors from fraudulent encashment of cheques, the SEBI Regulations have made it mandatory for investors to mention in their application / Redemption request, the bank name and account number. It is compulsory for all investors to quote their Permanent Account Number (PAN) and submit copy of the PAN card issued by the Income Tax Department, irrespective of the amount of investment. 8. KYC: According to guidelines issued by SEBI under 'The Prevention of Money Laundering Act, 2002, Mutual Funds are required to follow enhanced know your customer (KYC) norms. According to enhanced KYC norms, it is made compulsory for all investors to be KYC compliant while making an application for subscription of units, amounting to Rs. 50,000 and above,w.e.f. February 1, 2008. 9. If the broker details are changed / re-written, please countersign near the broker box for confirmation