

# SIP AUTO DEBIT FACILITY : REGISTRATION CUM MANDATE FORM

INVESTORS SUBSCRIBING TO THE SCHEME THROUGH SIP AUTO DEBIT FACILITY TO COMPLETE THIS FORM COMPULSORILY ALONGWITH COMMON APPLICATION FORM

Application should be submitted atleast 30 days (for Monthly SIPs) and 15 days (for Daily SIPs) before the 1<sup>st</sup> Debit Clearing date. For terms & conditions refer overleaf

Application No: \_\_\_\_\_

## 1. DISTRIBUTOR INFORMATION FOR OFFICE USE ONLY

Name & Agent Code Ganesh S. Shanbhag ARN - 0988	Sub-Agent Name & Code	Bank/Branch Name & Serial No.	Registrar Serial No.	Date/Time of Receipt
Please any one only <input type="checkbox"/> <b>SIP Registration - by Existing Investor</b> <input type="checkbox"/> <b>SIP Registration - by New Investor</b> <input type="checkbox"/> <b>Micro SIP</b>				
<small>(Please do not fill the Application Form) (Complete the Application Form compulsorily alongwith this form.) (Refer Point No. 15 to 17)</small>				

**Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including services rendered by the distributor.**

## 2. INVESTOR DETAILS (Please refer Point No. 15 to 17 for Micro SIP)

Folio No. / Application No. / Zero Balance Folio No.	(For Existing Investor / Zero Balance Folio Holders please mention Folio Number / For New Applicants please mention the Application Form Number) (*Mandatory for all investors) (Please the enclosures) (*mandatory for investments equal to or greater than ₹ 50,000/- except for certain category of investors mandatory irrespective of transaction value (Refer Instruction No. 13 of main Application Form))			
Name of 1st Applicant /				
Documents Enclosed <input type="checkbox"/> Micro SIP	<input type="checkbox"/> PAN Proof	<input type="checkbox"/> KYC#	<input type="checkbox"/> PAN*	
Name of 2nd Applicant /				
Documents Enclosed <input type="checkbox"/> Micro SIP	<input type="checkbox"/> PAN Proof	<input type="checkbox"/> KYC#	<input type="checkbox"/> PAN*	
Name of 3rd Applicant /				
Documents Enclosed <input type="checkbox"/> Micro SIP	<input type="checkbox"/> PAN Proof	<input type="checkbox"/> KYC#	<input type="checkbox"/> PAN*	
Name of Father/ Guardian in case of Minor				
Documents Enclosed <input type="checkbox"/> Micro SIP	<input type="checkbox"/> PAN Proof	<input type="checkbox"/> KYC#	<input type="checkbox"/> PAN*	

## 3. SIP DETAILS (First SIP cheque and subsequent via Auto Debit Facility in select cities only)

Scheme Name	Plan	Option																
Sub Option	Dividend Frequency																	
Please refer the scheme specific SID and SAI to know the Plan, Option & Sub-Options related information.																		
Frequency (please ) <input type="checkbox"/> Monthly SIP Date : <input type="radio"/> 1st <input type="radio"/> 7th <input type="radio"/> 10th <input type="radio"/> 15th <input type="radio"/> 20th <input type="radio"/> 25th (*Default date is 7th) <input type="checkbox"/> Daily*																		
Instalment Amount (In figures)	Enrolment Period From** <table border="1" style="font-size: x-small;"> <tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr> </table> To <table border="1" style="font-size: x-small;"> <tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr> </table>		D	D	M	M	Y	Y	Y	Y	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y											
D	D	M	M	Y	Y	Y	Y											
Drawn on Bank /Branch Name																		

\*Daily SIP facility is currently available only with following banks: HDFC Bank, IDBI Bank, Kotak Mahindra Bank, IndusInd Bank, Bank of Baroda for all locations. For Mumbai, Delhi, Kolkatta, Chennai Daily SIP shall be accepted in all Banks.  
\*\*Minimum SIP term should be for 6 months for monthly SIP & 1 month for Daily SIP.

## 4. PARTICULARS OF BANK ACCOUNT (Refer instruction under B overleaf)

Name of 1st Account Holder			
Name of 1st Joint Holder			
Name of 2nd Joint Holder			
Name of Bank & Branch			
City			Pin
Account No.			
9 digit MICR Code (Mandatory)	(This is 9 digit number next to the cheque number)		Account Type (Please )
IFSC Code	Please provide a copy of cancelled cheque leaf from an Auto Debit eligible bank (Mandatory)		Savings <input type="checkbox"/> NRO <input type="checkbox"/>
DECLARATION & SIGNATURE: I/We hereby declare that the particulars given above are correct and express my willingness to make payments referred above to debit my/our account directly or through participation in Auto Debit. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I / We would not hold the user institution responsible. I / We will also inform AMC, about any changes in my/our bank account. I/We have read and agreed to the terms and conditions mentioned overleaf.			Current <input type="checkbox"/> NRE / FCNR <input type="checkbox"/>
I/ We confirm that the ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.			
Applicable to NRI only: I/We confirm that I am/we are Non-Resident Indian/Person of Indian Origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our NRE/NRO/FCNR Account. I/We undertake that all additional purchases made under this Folio will also be from funds received from abroad through approved banking channels or from funds in my/our NRE/NRO/FCNR Account.			

SIGNATURE (S) <small>(as in Bank records)</small>	Sole/1 <sup>st</sup> applicant/Guardian/Authorised Signatory/POA	2 <sup>nd</sup> applicant/Guardian/Authorised Signatory/POA	3 <sup>rd</sup> applicant/Guardian/Authorised Signatory/POA
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## 5. BANKER'S ATTESTATION

Certified that the signature of account holder and the Details of Bank account are correct as per our records

Signature verification request (To be retained by the Customers Bank)	Signature of authorised Official from Bank (Bank stamp and date)
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The Branch Manager	Date <table border="1" style="font-size: x-small;"> <tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td></tr> </table>	D	D	M	M	Y	Y														
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Sub : Mandate verification for A/c. No.																					
This is to inform you that I/We have registered for making payment towards my investments in Bharti AXA Mutual Fund by debit to my /our above account directly or through ECS (Debit Clearing). I/We hereby authorize to honour such payments and have signed and endorsed the Mandate Form.																					
Further, I authorize my representative (the bearer of this request) to get the above Mandate verified. Mandate verification charges, if any, may be charged to my/our account.																					
Thanking you, Yours sincerely																					

SIGNATURE (S) <small>(as in Bank records)</small>	Sole/1 <sup>st</sup> applicant/Guardian/Authorised Signatory/POA	2 <sup>nd</sup> applicant/Guardian/Authorised Signatory/POA	3 <sup>rd</sup> applicant/Guardian/Authorised Signatory/POA
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<b>ACKNOWLEDGEMENT SLIP</b> <small>To be filled in by the Investor</small>	Folio No. / Application No. <table border="1" style="font-size: x-small;"> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr> </table>										
<small>(To be filled in by the First applicant/Authorized Signatory) :</small>											
Received from Name & address : _____											
an application for Purchase of Units alongwith Cheque <input type="checkbox"/> SIP/ Micro SIP <input type="checkbox"/> For ₹ _____											
Acknowledgement Stamp											

## A. SCHEME AVAILABLE FOR SIP WITH AUTO DEBIT FACILITY

SIP: All Open-ended Scheme(s) of Bharti AXA Mutual Fund (except Liquid Schemes and Treasury Advantage Schemes).

## B. TERMS & CONDITIONS FOR SIP AUTO DEBIT FACILITY

- Existing investors seeking for Systematic Investment only, need to fill up this Systematic Investment Form. New investors who wish to enrol for the Systematic Investment should fill up SIP Form in addition to the Common Application Form. However in lines with SEBI and AMFI guidelines the requirement of submission of documents for subscription/ transaction is modified from time to time. Therefore, for such additional requirements the investor is required to refer the "Instruction For Completing The Application Form" (as provided in the main application form) and submit such additional documentation for the same
- Monthly SIP Payment can be done through Auto Debit Facility in all the cities as prescribed by the Reserve Bank of India (RBI).
- Monthly SIP/ Daily SIP is also available with HDFC Bank, IDBI Bank, Kotak Mahindra Bank, IndusInd Bank, Bank of Baroda for all locations.
- For Mumbai, Delhi, Kolkatta, Chennai Daily SIP shall be accepted in all Banks. AMC may notify other banks from time to time.
- Please check the Scheme Information Document & the Statement of Additional Information for SIP facility in respective scheme.
- The cities as prescribed in RBI's list may be modified/updated/changed/removed at any time in future entirely at the discretion of Bharti AXA Investment Managers Private Limited without assigning any reasons or prior notice. If any city is removed, SIP instructions for investors in such cities via Auto Debit route will be discontinued and communication to that effect will be sent to the investor.
- SIP facility is available only on specific dates of the month viz - 1st /or 7th /or 10th /or 15th /or 20th /or 25th for Monthly SIP.
- During ongoing purchase in monthly SIP your first SIP can be for any day of the month. Your second and subsequent SIPs are available only on the above specified dates of the month with a minimum gap of atleast 30 days between first and second SIP installment. In case the chosen date turns out to be a non working day for the scheme; the SIP will be processed on the immediate following working day.
- The SIP cheque should be drawn on the same bank account which is to be registered for Auto Debit. The bank account provided for Auto Debit should participate in local MICR clearing.
- The cheque may be drawn in favour of "Full name of the Scheme" followed by the name of the sole or 1st joint holder/ his PAN/folio number. For e.g. "XYZ Scheme A/c - Sole / First Investor name" or "XYZ Scheme A/c -Permanent Account Number" or "XYZ Scheme A/c -Folio Number".
- The first investment cheque while applying for Monthly SIP can be either equal to or greater than the subsequent Monthly SIP installment amounts. The subsequent Monthly SIP installment amounts however should remain the same as per the scheme specific information document and all the post-dated cheques issued.
- If two consecutive SIP's fail, the SIP will automatically stand terminated and a communication to the effect will be sent to the investor.
- If the SIP preferences are not indicated or in case of discrepancy or ambiguity, the default option shall be as under: SIP Frequency -Monthly, SIP Date - every 7th of the month and Tenure -6 months.
- The investor has the right to discontinue SIP at any time he/she so desires by sending a written request, at least 15 working days prior to the due date of next SIP for Monthly SIP, to any of the offices of Bharti AXA Mutual Fund or its Investor Service Centres. All the request should be accompanied by:
  - A new SIP form duly filled in and carrying the revised/new SIP request details, or
  - A written and signed confirmation for discontinuing the existing SIP.
- Investors availing the Micro SIP facility shall be exempted from submission of requirement of PAN. Micro SIP shall be applicable where aggregate of installments in a rolling 12 months period or in a financial year i.e. April-March does not exceed ₹ 50,000/- per year.
- Micro SIP benefit is available to individuals, NRI's, Minors and Sole Proprietors only. HUF's, PIO's and any other entities/ persons etc. are not eligible. The AMC will reject the application where they find that documents are deficient or where the installment total will exceed ₹ 50,000/- per year.
- Eligible investors are required to submit any one of the following photo identification document as a proof of identification in lieu of PAN:
  - Voter Identity Card; 2.Driving License; 3.Government / Defense identification card; 4. Passport; 5. Photo Ration Card; 6. Photo Debit Card; 7.Employee ID cards issued by companies registered with Registrar of Companies; 8.Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament; 9.ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks; 10.Senior Citizen / Freedom Fighter ID card issued by Government; 11.Cards issued by Universities/ deemed Universities or institutes under statutes like ICAI, ICWA, ICSI; 12. Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL); and 13.Any other photo ID card issued by Central Government / State Governments/Municipal authorities / Government organizations like ESIC / EPFO.

Submission of KYC acknowledgement is not mandatory in case of investments as Micro SIPs. However, for such cases the proof of identification and proof of address (as specified in the above documents list) (if the proof of identification also includes address the separate address proof is not necessary) may be submitted along with the application as part of the regulatory requirement. Such documentary proofs should be self attested or attested by the distributor/ any competent authority. However, in case the Micro SIP investor has a PAN, then it is mandatory that he/she submit the KYC acknowledgement issued by CVL.

Investments by investors residing in the State of Sikkim are not required to provide KYC acknowledgement. However, in such cases, investor require to submit proof of identification and proof of address (as per specified documents list mentioned above) (if proof of identification also includes address, a separate address proof is not necessary). Proof of address should contain address in the State of Sikkim and the address mentioned in the application form should be the same. Such documentary proofs should be self-attested or attested by the distributor/ any competent authority.
- Investor will not hold Bharti AXA Investment Managers Pvt. Ltd., its registrars and other service providers responsible if the transaction is delayed or not effected, the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of Auto Debit / local holidays / incomplete or incorrect instructions from the applicant.
- Bharti AXA Investment Managers Pvt. Ltd. reserves the right not to represent any mandate for SIP auto Debit facility if the registration could not be effected in time for reason beyond its control.
- Bharti AXA Investment Managers Pvt. Ltd., its registrars and other service providers shall not be responsible and liable for any transaction failures, due to rejection of the transaction by your bank / branch or its refusal to register the SIP mandate.
- In case of renewal of SIP this form should be submitted at least 1 month (for Monthly SIP) and 15 days (for Daily SIP), prior to the due date.
- Please refer the Scheme Information Documents/ Statement of Additional Information of the scheme for the Applicable NAV, Redemptions, Risk Factors, Load and other information before investing.
- Generic Structure on SIP: This facility enables investors to save and invest periodically over a longer period of time. It is a convenient way to "invest as you earn" and affords the investor an opportunity to enter the market regularly, thus averaging the acquisition cost of Units. SIP allows investors to invest a fixed amount of Rupees on either daily basis or on specific dates every month by purchasing Units of the Scheme at the Purchase Price prevailing at such time. Any unit holder can avail of this facility subject to certain terms and conditions contained in the Application form, Scheme Information Document and Statement of Additional Information of the respective scheme. Systematic Investment Plan facility will be available as detailed below:

SIP Options	Daily SIP	Monthly SIP
Contribution will be debited	On every Business Day	On any one of the following dates in a month as chosen by the investor / Unitholder : 1st /or 7th /or 10th /or 15th /or 20th /or 25th basis NAV of that day, or of following Business Day, if that day is not a Business Day.
Minimum duration of SIP contributions	1 month	6 months

### Contributions:

Auto Debit allows an investor to instruct his bank to debit his bank account at periodic intervals for making investments in mutual fund scheme(s). However the first investment in SIP under this mode shall be by way of cheque only.

There shall be a gap of at least 30 days between the date of the first cheque and first instalment through Auto Debit in the case of a Monthly SIP initiated during the Ongoing Offer period and 15 days in case of Daily SIP initiated during the Ongoing Offer Period.

For more information visit us at  
[www.bharti-axa-im.com](http://www.bharti-axa-im.com)

Call us at (Toll Free)  
**1-800-1032-263**

Email us at  
[service@bharti-axa-im.com](mailto:service@bharti-axa-im.com)

Alternate Number  
**020-4011 2300**